

## CONSERVATORSHIP QUESTIONNAIRE FOR CREATION OF AN INVESTMENT PLAN

<b>Conservator:</b>		<input type="checkbox"/> M <input type="checkbox"/> F <input type="checkbox"/> Entity		<b>Date Appointed:</b>	
<b>Physical Address:</b>			<b>City / State:</b>		<b>Zip:</b>
<b>Mailing Address (if different):</b>			<b>City / State:</b>		<b>Zip:</b>
<b>Telephone:</b>		<b>Fax:</b>		<b>E-mail:</b>	
<b>CONSERVATORSHIP-SPECIFIC INFORMATION</b>					
<b>Full Name of Conservatorship:</b>			<b>Date of Conservatorship</b>		
To the right, please give us through which month-end you would like our Annual Review. We need 15 days after the end of the desired month to prepare the report and email it to you					
<b>Annual Income:</b> (Exclude income from investments)	Social Security: \$		Annuity/Structured Settlement: \$		
	Pension(s): \$		Other (please specify):		\$
	Real Estate/ Rental Property: \$		<b>Total Annual Income:</b> \$		
<b>Annual Expenses:</b>	Living Expenses: \$ (Room and Board, Mortgage, Utilities etc.)		Personal: \$ (Groceries, Clothing, Spending Money etc.)		
	Medical: \$		Other: (please specify)		\$
	Legal / Acctg. / Fiduciary: \$		<b>Total Annual Expenses:</b> \$		
<b>Approximate Total Value of Assets to be Managed</b> (please include copies of any brokerage & other pertinent statements):					\$
We recommend that our clients retain at least 8 months of cash for <i>net expenses</i> in a bank account to reduce the frequency of distributions from the investment portfolio. <b>Other than management fees, how much do you want to keep in cash for contingencies?</b>			\$	or	<input type="checkbox"/> None
<b>Attach a list of assets to be managed under this Investment Plan. Include cost basis information or indicate that it is missing.</b>					
<input type="checkbox"/> Mark this box if you would like the Investment Plan to include ALL of the Estate's assets—including all existing assets or accounts.					
<b>CONSERVATEE'S PERSONAL INFORMATION</b>					
<b>Name of Conservatee:</b>		<input type="checkbox"/> M <input type="checkbox"/> F		<b>His/Her Tax Bracket:</b> <input type="checkbox"/> 0-15% <input type="checkbox"/> 25-27.5% <input type="checkbox"/> 27.5% +	
<b>Mental Health</b> (elaborate below):		<input type="checkbox"/> Good <input type="checkbox"/> Fair <input type="checkbox"/> Poor		<b>Physical Health</b> (elaborate below): <input type="checkbox"/> Good <input type="checkbox"/> Fair <input type="checkbox"/> Poor	
<b>Conservatee's Date of Birth:</b>		If there is an estimate of the conservatee's life expectancy, what is it?			
<b>Conservatee's SSN:</b>					
<b>ADDITIONAL INFORMATION</b> – If there is any information you feel we, as the Investment Advisors, ought to know regarding the circumstances or conditions of estate or conservatee, please share it in the box below:					

I affirm that the above information is correct to the best of my knowledge:

**Our fax number is (760) 597-9259.**

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date